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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

GER-0278

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/914729

INTERNATIONAL APPLICATION NO.
PCT/FR00/00889INTERNATIONAL FILING DATE
April 7, 2000PRIORITY DATE CLAIMED
April 8, 1999

TITLE OF INVENTION

DEVICE FOR MEASURING THE DIMENSION AND CONTROLLING OF DEFECTS IN OPTICAL FIBRES
DURING PRODUCTION

APPLICANT(S) FOR DO/EO/US

JEAN-FRANCOIS FARDEAU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

- Petition For Revival of an Application
For Patent Abandonment
- Written Assertion of Entitlement to small
Entity

"Express Mail" mailing label number

Date of Deposit August 31, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Page 1 of 2

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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <div style="font-size: 1.5em; font-weight: bold;">09/914729</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold;">PCT/FR00/00889</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold;">GER-0278</div>	
24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right; font-weight: bold;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$860.00 \$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	7 - 20 =	0	x \$18.00	\$0.00	
Independent claims	1 - 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$860.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$430.00	
SUBTOTAL =				\$430.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
TOTAL NATIONAL FEE =				\$430.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$430.00	
				Amount to be:	
				refunded	\$
				charged	\$

- a. ☒ A check in the amount of **\$430.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **06-1130**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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47,535

REGISTRATION NUMBER

August 31, 2001

DATE

Express Mail Label #EL835033757US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: JEAN-FRANCOIS FARDEAU

FOR: DEVICE FOR MEASURING THE DIMENSION AND CONTROLLING OF
DEFECTS IN OPTICAL FIBRES DURING PRODUCTION

WRITTEN ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

The Assistant Commissioner of
Patents and Trademarks
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.27(c), Applicant herein asserts that Applicant is entitled to small entity status because Applicant qualifies as a person as defined in 37 C.F.R. §1.27(a). Accordingly, recognition of Applicant's small entity status and treatment of Applicant as a small entity is requested.

"Express Mail" mailing label number EL835033757US

Date of Deposit AUGUST 31, 2001

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Jennifer Matson
(Typed or printed name of person mailing paper or fee)

[Signature]
(Signature of person mailing paper or fee)

Respectfully submitted,
JEAN-FRANCOIS FARDEAU

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DEVICE FOR DIMENSIONAL MEASURING AND CONTROL OF OPTICAL FIBER
DEFECTS IN PRODUCTION


The present invention concerns a method and design for an apparatus intended for absolute, high-speed measurement of the diameter of transparent fibers and for controlling internal and superficial defects.

Since 1970, much effort has been devoted to studying the propagation of light perpendicularly to the axis of the fiber. Over the years, this work has yielded many methods and solutions for dimensional measuring of fibers and controlling defects. Among these studies, we referred to:

- [1] Saint-Etienne University Thesis, by Lionel Delauney, 03/28/1986
- [2] US Patent No. 3,982,816 by Laurence S. Watkins, Sept. 28, 1976.
- [3] US Patent No. 4,027,977 by Ralph E. Frazee, June 7th, 1977
- [4] US Patent No. 4,280,827 by Edward F. Murphy, July 28th, 1981.
- [5] US Patent No. 4,176,961 by Ralph E. Frazee, December 4th, 1979.
- [6] EP 0 549 914 B1, by Leslie James Button, Corning Inc., 05/07/1997 and earlier documents.
- [7] 3396052966 FCOTAC, Alcatel FO

The production of telecommunication optical fibers by fibering requires increasingly weak dispersions around the specified nominal diameter and an absence of critical defects affecting the mechanical and optical characteristics of the fibers, but especially those affecting their lifetime. This is the case for "air-line" glass defects, or "bubble" or "delamination" coating defects, as well as the excentricity of the glass in its polymer coating. Finally, increasing fibering speeds and high-frequency fluctuations of the diameter of the fibers require instruments which are faster than those currently used for visualizing and reducing these phenomena.

The present invention concerns a method and an assembly which allow both dimensional measuring and control of glass fibers prior to coating (bare fibers), as well as dimensional measuring and control of the coatings. More generally, it applies to any circular section, optically-transparent stem corresponding to Figure 1 and presenting an R1, N1 and R2, N2 index



profile. No apparatus known to date allows absolute measurement of the diameter without contact, at more than 50 KHz, and statically with resolutions of a few hundredths of a micron including defect detection.

References [1] and [2] summarize the fundamental principles of light propagation in a transparent fiber, as described in Figure 1, specifically when it is illuminated by a monochromatically-collimated light field which is consistent and perpendicular to the axis of the fiber. The deflection of the light by the fiber produces a system of interferometry fringes whose periods and phases depend on the index profiles: diameters, substances, homogeneity, concentricity. By analyzing these fringes according to the measurement angles, one may deduce precise information as to the conformity of the fiber to a model defined as an absolute reference. The quality of the measured signal, represented by the contrast and regularity of the fringes and by the signal's energy, depends on the fiber's optical quality, namely the absence of defects, homogeneity, and geometric regularity. Continuous high-speed analysis of the fringe contrast allows very small defects to be detected, such as bubbles in polymer coatings.

Reference [1] describes in detail the propagation relations and deduces from them a method for rapid measurement of diameter variations and a diameter measurement method by calibration on a standard fiber. The cited documents describe assemblies for measuring diameter variations by counting the fringe shift or the diameter by measuring the reference fringe period to a standard fiber period. Document [6] uses a Fourier transform processing method for diameter measurement and to detect small defects in the glass.

Figure 1 recalls propagation phenomena in a transparent cylindrical structure consisting of a ray center "1" and an index N_1 , and a ray envelope "R" and an index N_2 for which the maximum r/R ratio is 0.5, as is habitual for telecommunication fibers. This structure represents, specifically, either a multi-mode glass fiber with its core (62.5/12.5 μm) or a monomode fiber or a coated glass fiber (125/245 μm). The indices of the two coating layers are considered close to glass in order to use the same model. However, one may apply the invention using models which take into account the index differences of the coating layers.

The propagation of light rays through the fiber has been widely studied and we will cite only the results of these studies. The reflected (Rr) and transmitted (Rt) bundles interfere infinitely all the way around the fiber. The model describing the bundles' contribution to the fringe system is expressed by:

(1) $s = \sum_i \psi(n, \theta, D, \lambda)$, in which:

ψ_i Propagation relation of each laser line contributing to constitution of the signal at the same point.

N N_1 index of the substance

θ Measurement angle

D Outer diameter

λ Laser wavelength

In an angle zone θ between 40° and 80° , two functions ψ corresponding to rays Rt and Rr largely dominate the others. We group the contributions of the other residual bundles (multiple reflections in the fiber) under the character ϵ , which reduces relation (1) to:

$$(2) S = \Psi_{Rt} + \Psi_{Rr} + \epsilon$$

The difference Δ between the two bundles ψ is expressed in terms of the outer diameter of the fiber " D ", the index of the outer coating " $n = N_1$ ", the wavelength " λ ," and the measurement angle " θ ", according to references [1] and [2].

(3) $\Delta = D * f(n, \theta) + \lambda/4$ in which

$$(4) f(n, \theta) = \sin(\theta/2) + [n^2 + 1 - 2*n*\cos(\theta/2)]^{1/2}$$

Or in number of fringes:

$$(5) N = \Delta[\text{illeg.}] = (D/\lambda) * f(n, \theta) = \frac{1}{4}$$

$$(6) N(0) = (D/\lambda) * (n^2 - 2 * n + 1) + \frac{1}{4}$$

If each parameter λ , θ , and n is precisely known, the relations (3) and (4) constitute a reference model for the absolute measurement of D , without calibration constraint by a standard fiber. The parameter λ is precisely measurable or known from the laser (He). "N1" is the precisely known index of the outer layer of the fiber. θ must be measured on the instrument. Each elementary point of the sensor 6 [in] Figure 2 which is used must therefore correspond to a precise angle value.

The originality of the presented method resides in the digital processing of the signal which identifies a parametrable model on the signal and which leads directly to absolute measurement of the diameter; it also resides in a high-speed, analog method for measuring diameter variations with very high resolution; lastly, it resides in the correlation of the results of these digital and analog methods to provide a high-speed, absolute measurement method. A basic element of the method is found in the optical quality of the signal, characterized by the fringe contrast. The permanent analog control of the contrast, included in the apparatus, also aids in the detection of possible defects in the fiber.

Absolute Measurement of the Diameter

This measurement is performed by digital processing of a signal coming out of a sensor 6 (Figure 2), consisting, in our case, of a CCD barrette placed between 40 and 80° in relation to the origin of the laser bundle. In the experimental assembly, the angle window is 24°. The digital processing is characterized by a weak measuring frequency, currently 10Hz.

A model for representing the fringe system produced by R_t and R_r may be written as:

$$(7) M = [[\text{illeg.}](B + \theta)] * [1 + \sin^2 \{N(D, \theta) * \pi + \varphi\}], \text{ and}$$

(8) $S = M + [\text{illeg.}]$

The identification of the model M which is closest to the fringe system S leads to determining A , B , D , and ϕ minimizing the residual s . All identification methods can be used. We use a least square minimization method, first on the period by optimization of D and ϕ , then on amplitude. The parameters A and B make it possible to identify an approach amplitude profile allowing defects to be detected. Their values are approximately proportional to the diameter (energy of signal), which makes it possible to initialize them after the apparatus is adjusted. Only the value of D , in $N(D, \theta)$ is of interest to us for the measurement. ϕ is a parameter that allows maximal adjustment of the phase of the model to that of the signal;

The angular calibration of the sensor is performed with reference to the direction of the measuring laser's axis of incidence taken as angle value 0. To identify the angle positions of the pixels, the assembly in Figure 10 is used. The optical bench of the measuring apparatus is mounted on a test bench consisting of an optical slit (14) for limiting the laser's field and energy on the sensors, a high-precision angle coder centered on the axis of the fiber (axis of the apparatus), an integral unit made up of optics focusing (12) the laser bundle and a sensor (13) providing proportional voltage to the position of the laser spot. The unit (12)(13) is turned angularly until the sensor (13) indicates the position of the laser bundle at 0 volts. The angle coder is then integrated into the unit (12)(13) and initialized at zero on the axis of the laser. The unit (12)(13) is then turned at any angle, at 110° , for example, in order to allow a mirror to be placed and angularly referenced on the fiber axis. The mirror and the unit (12)(13) are adjusted until 0 volts is indicated on the sensor (13). Next, the mirror is integrated into the coder, the angle value θ_0 , corresponding to 0 volts on the coder, is recorded, and the unit (12)(13) is taken apart. The mirror is then angularly referenced. The axis of the laser deflected by the mirror will then be $A = 2 * (\pi - \theta) - \theta_0$. By turning the mirror (11), the laser spot is displaced onto the sensors 6 and 7. One may therefore record point by point the angle positions of the pixels and identify the angle transfer function = $f(\text{Pixels})$ including the optical system 4.

The fringe system in an angle zone of 24° taken between 40° and 80° , for a fiber $D = 125 \mu\text{m}$, $\lambda = 780 \text{ nm}$, and $n = 1.478$, is shown in Figure 3. The precise measurement of the signal's period evolution measured as a function of the angle allows the identification of D in the model.

A first approach consists of identifying the parameters D and φ by minimizing the differences between the model and the measured signal, between θ_1 and θ_2 , which correspond to the angle span of the CCD barrette. In this approach, we do not take into account the phase of the fringe system but only the period in terms of the angle.

We obtain measurement stability and reproducibility greater than $0.02 \mu\text{m}$. The total uncertainty calculated on D is lower than $\pm 0.15 \mu\text{m}$. These uncertainties are as follows:

- Index: for silica known with negligible uncertainty in terms of the wavelength. For the coatings, the parameters which allow the indices to be calculated are provided with precision by the manufacturers.
- Laser wavelength: For a gas laser, the values are also known with great precision and negligible uncertainty. For a stabilized diode laser, the wavelength is measured at $\pm 0.2 \text{ nm}$ out of 780 , or $\pm 0.025\%$.
- Angle values: The resolution of the sensor is 3 arcseconds with a cumulative total uncertainty of the calibration of 1 arcminute, or 0.024 on 70° (4200 arcminutes).

The total uncertainty of the apparatus would then be 0.05% of the diameter, or $\pm 0.06 \mu\text{m}$ on $125 \mu\text{m}$. To these uncertainties must be added imperfections in the fiber (ovality, local optical disturbances, residual "ε" signals) which reduce the total uncertainty to an estimated value of $\pm 0.15 \mu\text{m}$.

The angle span for measurement located between 58° and 82° corresponds to approximately 30 fringes. Relation (6) shows that at $\theta = 0$, $N(0)$ varies proportionately to the diameter; that is, that the phase of R_t from S to $\theta = 0$ varies proportionately to the diameter. If the model is sufficiently certain to determine the phase of the wave (R_t , Fig. 1) crossing the fiber for $\theta = 0$, then it is possible to measure the fiber's diameter with very high precision. In this case, the phase " φ " identified in the first approach takes on its full meaning. It is then possible, starting from the

angle span of measurement of the CCD, to dispel any doubt as to the number of fringes of the model, between $\theta = 0$ and a fringe on the CCD. Indeed, the total uncertainty of the measurements taken in an angle window of 24° is 0.15%. The number of fringes between 0 and 70° is approximately 180 at $\lambda = 780$ nm. The uncertainty as to the number of fringes between 0 and 70° is then $0.15\% \times 180 = 0.28$, or quite a bit less than a half-fringe. One then adds to the model the phase of S at the origin of the angles to obtain greatly improved measurement precision. Function (4) is taken into account in the angle span from 0 to [illegible] on the CCD.

The advantage of this measurement method by model adaptation is that it is free of the fluctuations linked to variations in the signal's residual modulations in the angle span for measurement. Indeed, it takes into account the model of N in the totality of the measurement angle between 0° and θ . This gives great stability to the digital measurement, on the same order as the analog measurement which we will discuss below.

However, uncertainties remain regarding the geometric dispersion of the fiber in relation to the model that led to the establishment of basic relations: circularity of the fiber, defects in cylindricity, as well as defects linked to divergence of the laser bundle. Although these defects are very limited when reduced to the diameter, they do not, however, make it possible to create an absolute measurement apparatus with the precisions corresponding to the achieved resolutions. Moreover, the best measurement methods that could allow us to validate the metrological results do not, to date, perform better than $\pm 0.15 \mu\text{m}$. This means that the first evaluation, by angle difference, is sufficient in this configuration.

Rapid Measurements of Diameter Variations

In relation to a given angle position, the fringe shift represents the result of the variation of all of the fringe periods between the angle origin and the angle position of the measurement, plus the phase variation at the angle origin. This phase measurement method at point θ has the advantage of being very stable, very reproducible, very sensitive to diameter variations, and insensitive to the residual modulations of the fringe system. It differs from the digital measurement method but leads to the same resolutions. This method gives only diameter variations but does so at high

speed, as opposed to the absolute, digital method, which is slow. These methods are therefore complementary for ensuring both absolute measurement and high speed.

The diameter variation leading to this shift is expressed as:

$$(9) dD = -\lambda dN/f(n,\theta) \quad [1]$$

The fringe pitch as a function of angle θ is shown in Figure 9. One sees that the fringe pitch remains essentially constant in the zone $60^\circ \pm 10^\circ$. This characteristic allows sensors spaced by a constant corresponding to fringe pitch to be placed in this zone. It is also interesting in terms of measuring the period (frequency) by Fourier transform [6]. From relation (9), one may extract a rapid measurement of diameter variations at high speed and with high resolution. For example, for a 70° angle, a 780 nm wavelength, and a 1,476 glass index, the shift $dN = \frac{1}{4}$ fringe represents a diameter variation of 0.13 μm . In our method, one easily obtains a resolution of $\frac{1}{32}$ fringe, or 0.01 μm .

Assemblies for measuring diameter variations can be found in the literature. They are based on methods for counting binarized S1, S2 signals whose minimum resolution remains $\frac{1}{4}$ fringe. The difference in our method consists of binarizing the fringe signal differently, which also allows the shift to be counted in $\frac{1}{4}$ fringe increments as well as to interpolate this shift within an increment.

This measurement is made by placing sensors at a pitch corresponding to a proportion of the nominal fringe pitch; see Figure 7. The multiple advantages of this approach are:

- The measurement does not take into account signal amplitude residual modulations or period fluctuations, but only the fringe phase in relation to the sensors. This makes the measurement insensitive to fringe amplitude modulations.
- The fringe phase shift results from the variation of all of the fringes starting at the angle origin (axis of the laser), which provides very high sensitivity. One obtains a resolution and reproducibility of 0.01 μm .

- This measurement allows the implementation of continuous analog methods with high bandwidths, 75 KHz in our case.

Figures 6 and 7 present the principle of this high-resolution measurement. As is known, a series of optical sensors (pixels) is placed so as to be spaced in an odd number of $\frac{1}{4}$ fringes from the optical signal. One may, for example, use the sensors C1 to C4 (Figure 7) spaced at $\frac{1}{4}$ fringe or C^[illeg] to C4 spaced at $\frac{3}{4}$ fringe. The latter arrangement allows the use of sensors whose pitch between pixels is greater than the fringe pitch to be measured. Over several periods, the association of sensors C1 to C4 is reproduced, and they are connected in parallel so as to add up the C1 signals which share the same index. The sensitive surfaces of the sensors must not exceed $\frac{1}{4}$ of the fringe pitch in order to obtain a contrast hop in the signals. In our case, we chose $\frac{1}{5}$ and obtained a satisfactory result. The following analogic sum of the signals is then found:

- $S1 = C1 - C3$
- $S2 = C2 - C4$

in order to eliminate direct components.

Instead of binarizing $S1 > 0$ and $S2 > 0$, as is commonly done to obtain 2 counting signals, the following conditions are binarized:

- $A1 = f[S1 - S2 > 0]$
- $A2 = f[S1 + S2 < 0]$

The two binary signals A1 and A2 serve two purposes: One is to count the shift of S1 and S2 by $\frac{1}{4}$ period, as is known in the art; the other is to switch the signals S1, -S1, S2, and -S2 on a calculation circuit of the arctangent ($s1/s2$) as a function of the four combinations between A1 and A2 represented as 1 to 4 in Figure 7. ($\neg A_i$ logic complement of A_i).

- 1) $\neg A1 * A2 : s1/s2 = S2/S1$
- 2) $A1 * A2 : s1/s2 = S1/S2$

- 3) $A1 \cdot A2 : s1/s2 = S2/-S1$
- 4) $/A1 \cdot A2 : s1/s2 = S1/-S2$

Figure 6 shows a block diagram of the realization of an analog measurement following this principle starting from signals S1 and S2. The signals A1 and A2 control a double multiplexer which alternatively switches the four inputs S1, -S1, S2, -S2 towards s1 and s2 according to the above information. Analog measurement trials yielded an ENR1 entry in terms of reproducibility.

At initialization, the digital and analog measurement methods are united in order to filter the dispersions between the two methods efficiently. This is advantageous in production, when signal fluctuations between two measurements may be considered hazardous. To do this, we compare the digitally and analogically measured values sampled at the same moments by averaging the "n" last measurements. The residual dispersions of the digital measurement in the first processing phase (diameter calculated between two angle values) are then weighted and the absolute analog value is initialized according to the difference in the averages and not on the difference in the instant measurements.

Defect Detection

Defects are essentially "air-lines" (small tubes of air) in the glass fibers, namely localized surface defects.

Defects in the coatings include air bubbles, inclusion of unmelted materials, delaminations (layer of gas between the glass and the coating), overthicknesses, underthicknesses, and excentricity.

In this assembly, we are considering only real-time detection of optical disturbances produced by air-lines, bubbles, delaminations, and inclusions. The diameter variations are taken into account by simple comparison of the rapid analog output with programmed or adjusted thresholds.

Two parameters are used to characterize the quality of the optical signal: energy, which increases with the size of an air-line, and especially fringe contrast (difference between the maxima and

minima of S1 and S2), which is a fundamental element for this measurement method. The absence of contrast on the fringes prevents proper measurement.

Real-time energy is obtained by the sum of currents coming from the analog sensor's photodiodes. Real-time contrast is obtained by taking the maximum of the four signals S1, -S1, S2, -S2. This signal is naturally fluctuating since the S1s are sinusoids.

The appearance of a defect, even a small one, produces either a marked drop in contrast or a saturation. Regardless of the type of defect, the contrast always reacts much more sensitively than the energy does. This is the parameter we are considering for defect detection.

For more subtle air-line defects, digital processing extracts the component of the measured signal that does not correspond to an acceptable distribution of the fringe amplitude and period (see Figure 3 (normal signal), Figure 4 (disturbed signal), Figure 5 (difference of the signals in Figures 3 and 4)).

Claims:

1/ Device for absolute, high-speed measurement of the diameter of optical fibers and for detecting defects in the fiber, characterized by the use of two complementary signal processing systems (sensors 6 and 7 and two processing boards 8 and 9) and by the use of a method for angular calibration of the sensors allowing one to directly deduce the absolute diameter of the fiber from the physical model (4) and (7).

2/ Device according to Claim 1 characterized by an optical system 4 projecting a portion of the laser bundles deflected by the optical fiber in an angle span between 40 and 80°, onto two sensors 6 and 7 which are optically aligned and located in the focal plane of the optical system.

3/ Device according to Claims 1 and 2 characterized by calibration of the optical sensors 6 and 7 using a calibration bench external to the sensor, thus allowing the axis of the apparatus' laser bundle to be precisely located in order to reference the angle coder and, via a mirror integral to the angle coder, to deflect the laser angularly, in a known manner, onto several points of the sensors, through the optical system, in order to locate precisely the angle positions of these points.

4/ Device according to Claims 1, 2 and 3 characterized by the parallel use of a digital fringe signal processing system (sensor 6 and board 8) in order to yield an absolute and periodic measurement of the diameter, and of a rapid analog signal processing system (sensor 7 and board 9) in order to yield a relative and continuous measurement of diameter variations. The two systems are linked so that the analog system is initialized by the digital system in order to provide a continuous, rapid, and absolute measurement of the diameter.

5/ Device according to Claims 1 through 4, characterized by the optimization of the "diameter," ϕ phase, and amplitude parameters of the model of fringes (4) and (7) in order to minimize the differences between the signal coming from the model and the measured signal so as to deduce from them the absolute values of the measurements, namely the diameter.

6/ Device according to Claims 1 through 4, characterized by the comparison of analog signals S1 and S2 from sensor 7 in order to generate two logic signals A and B allowing the digital counting of the fringe shift per quarter period; the interpolation of the continuous variation of the fringe phase between each switching of A or B by realizing the arctangent of $(s1/s2)$; and, finally, the addition of the two results, counting plus interpolation, to yield a continuous, rapid, high-resolution measurement within a broad variation span of the diameter.

7/ Device according to Claims 1 through 4, characterized by the use of fringe contrast loss in order to detect, in real time, by analog channel, the presence of defects in the fiber and by the use of amplitude difference in the model and in the real signal in order to detect very small defects by digital processing.

PCT

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DEMANDE INTERNATIONALE PUBLIÉE EN VERTU DU TRAITE DE COOPERATION EN MATIÈRE DE BREVETS (PCT)

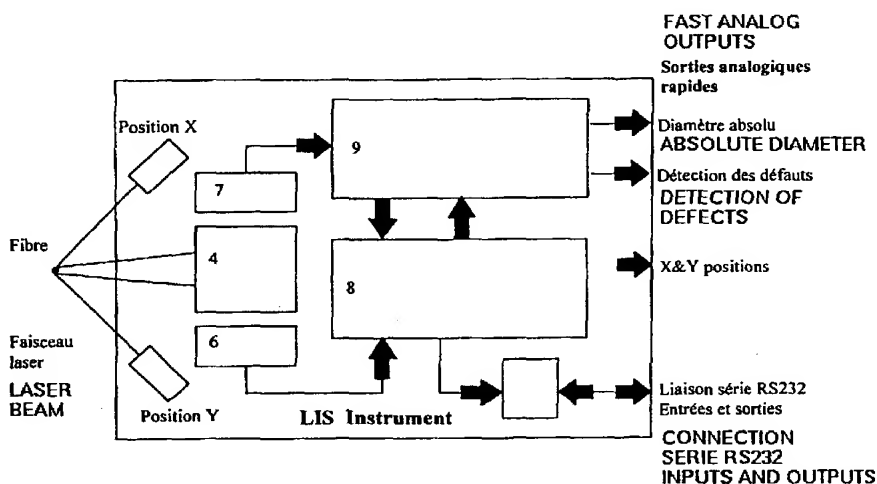
(51) Classification internationale des brevets ⁷ : G01B 11/10, G01M 11/00, G01N 21/88	A1	(11) Numéro de publication internationale: WO 00/62013 (43) Date de publication internationale: 19 octobre 2000 (19.10.00)
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(54) Title: DEVICE FOR MEASURING THE DIMENSION AND CONTROLLING OF DEFECTS IN OPTICAL FIBRES DURING PRODUCTION

(54) Titre: DISPOSITIF POUR LA MESURE DIMENSIONNELLE ET LE CONTRÔLE DES DÉFAUTS DES FIBRES OPTIQUES EN PRODUCTION

(57) Abstract

The invention concerns a method and a design for an apparatus for high-speed and high precision absolute measurement of the diameter of transparent fibres and the control of internal and surface defects. Said invention uses a phenomenon of interferometry. It is based on the combination of a digital processing of the signal for the absolute measurement of the diameter of fibres and the detection of very small defects and a method of analog processing for fast high resolution measurement and real time detection of the defects. The apparatus comprises an optical assembly (4) projecting the interferometry signal on two linear sensors (6 and 7), each connected to a digital (8) and analog (9) signal processing card. The combination of digital and analog measurements enable to provide fast and absolute measurement of the diameter.



**FAST ANALOG
OUTPUTS**
Sorties analogiques
rapides

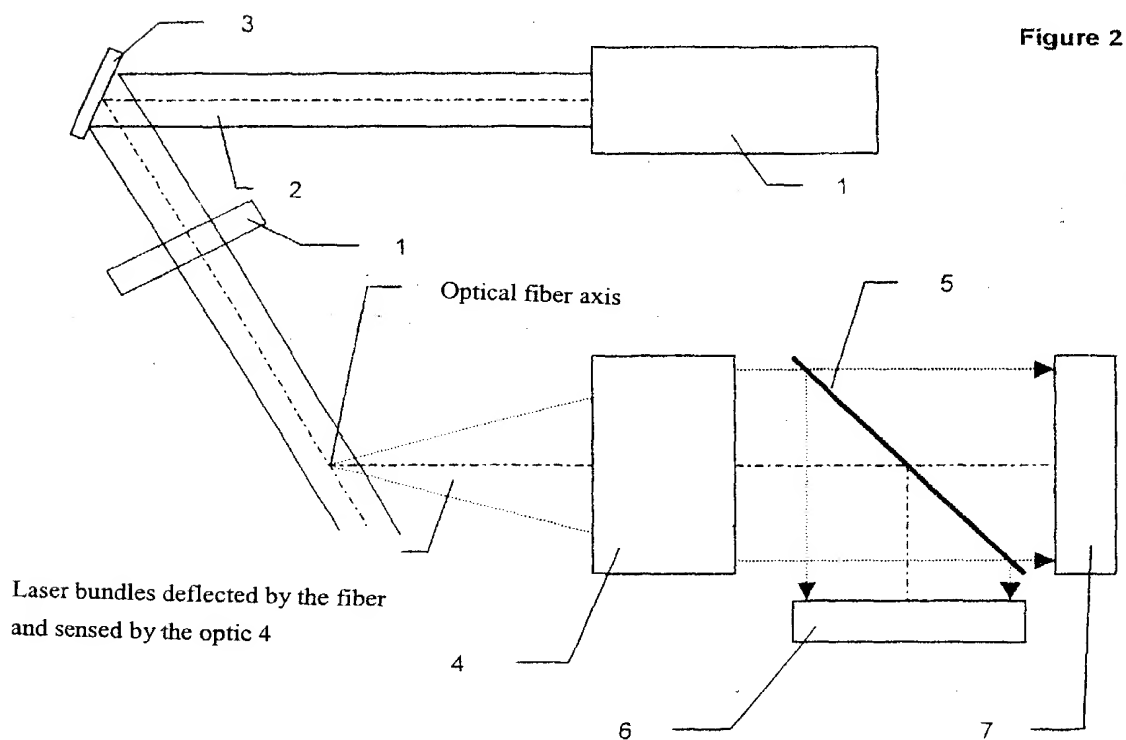
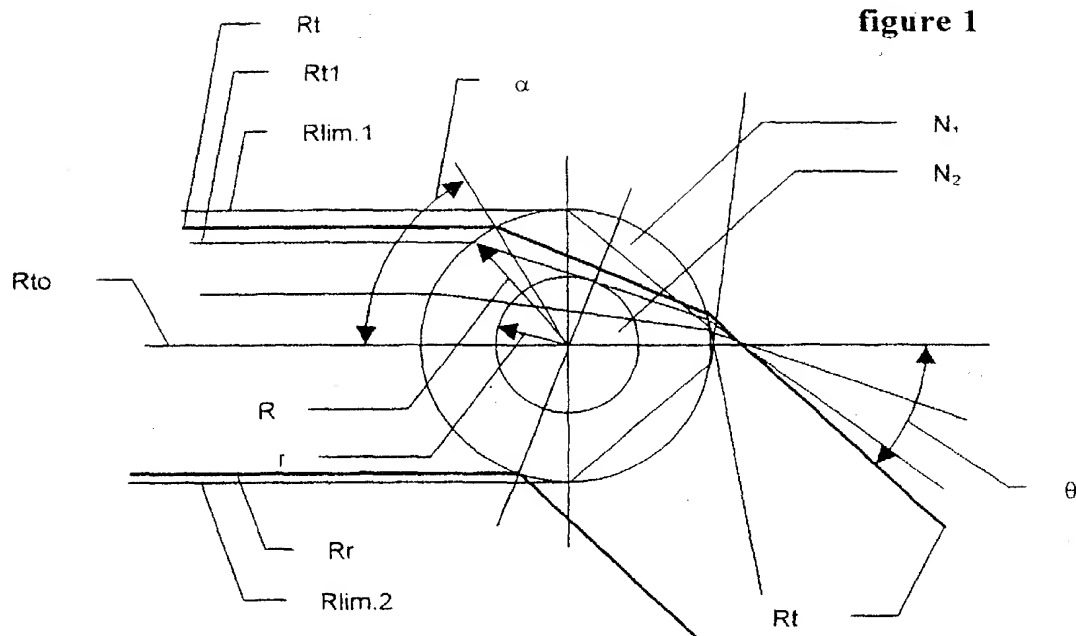
Diamètre absolu
ABSOLUTE DIAMETER

Détection des défauts
**DETECTION OF
DEFECTS**

X&Y positions

Liaison série RS232
Entrées et sorties

**CONNECTION
SERIE RS232
INPUTS AND OUTPUTS**



WO 00/62013

2/5

Normal signal for a 125um glass fiber

Figure 3

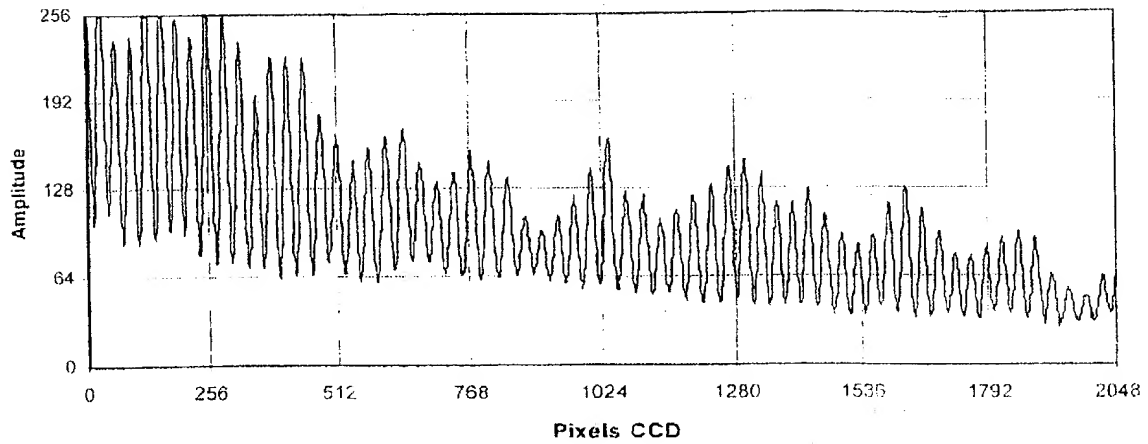


Figure 4 Signal from an uncoated 125um fiber with a 4.8um "air-line" in the center

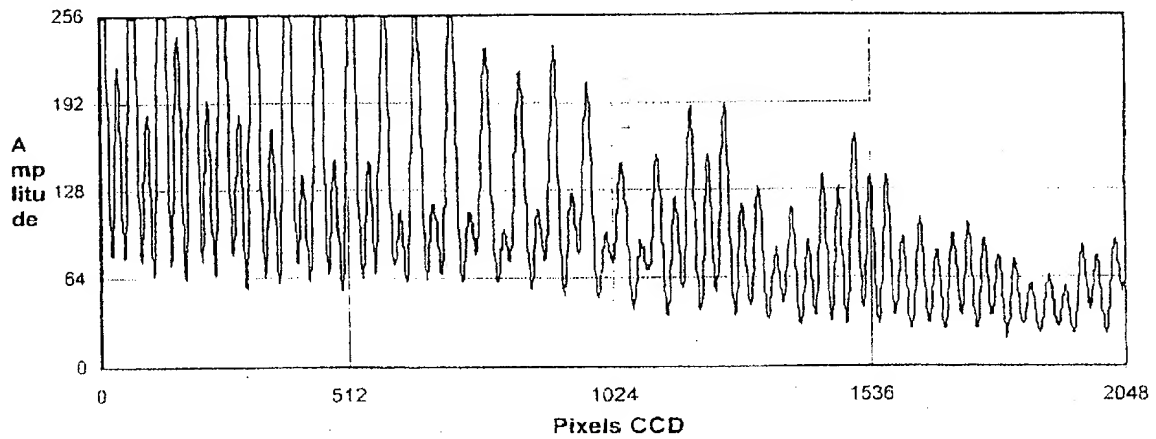


Figure 5

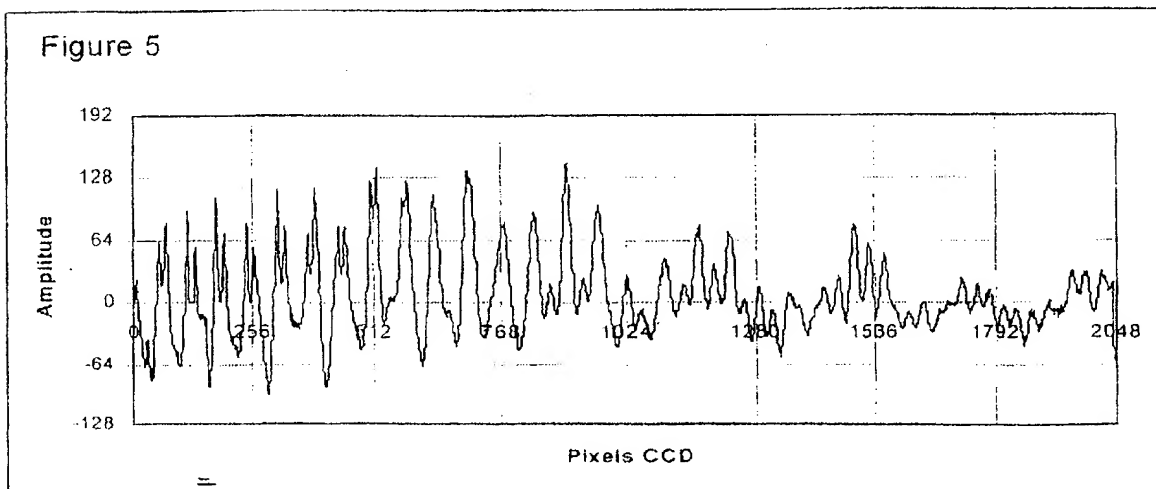


Figure 6

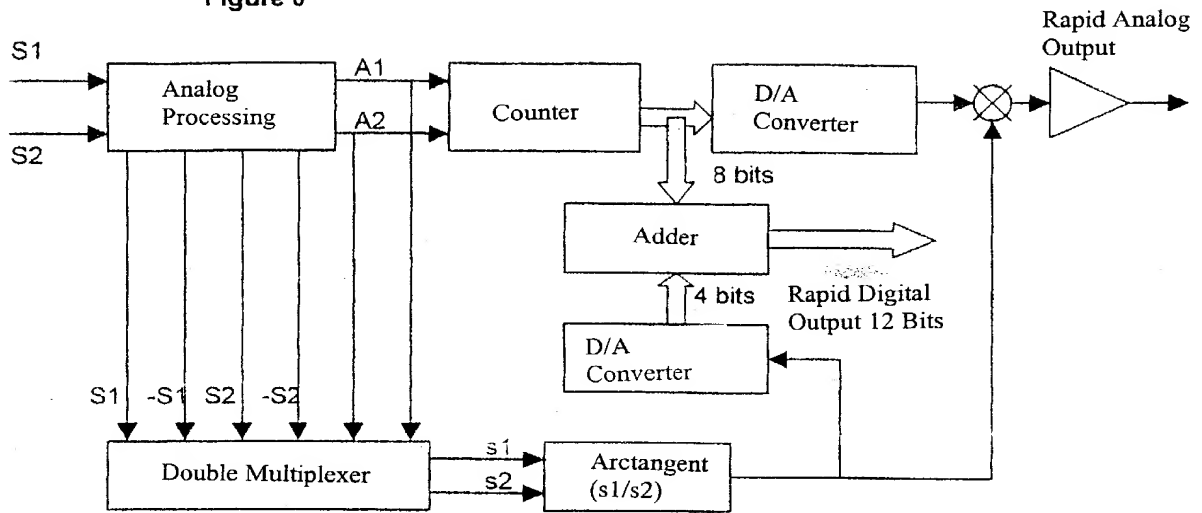
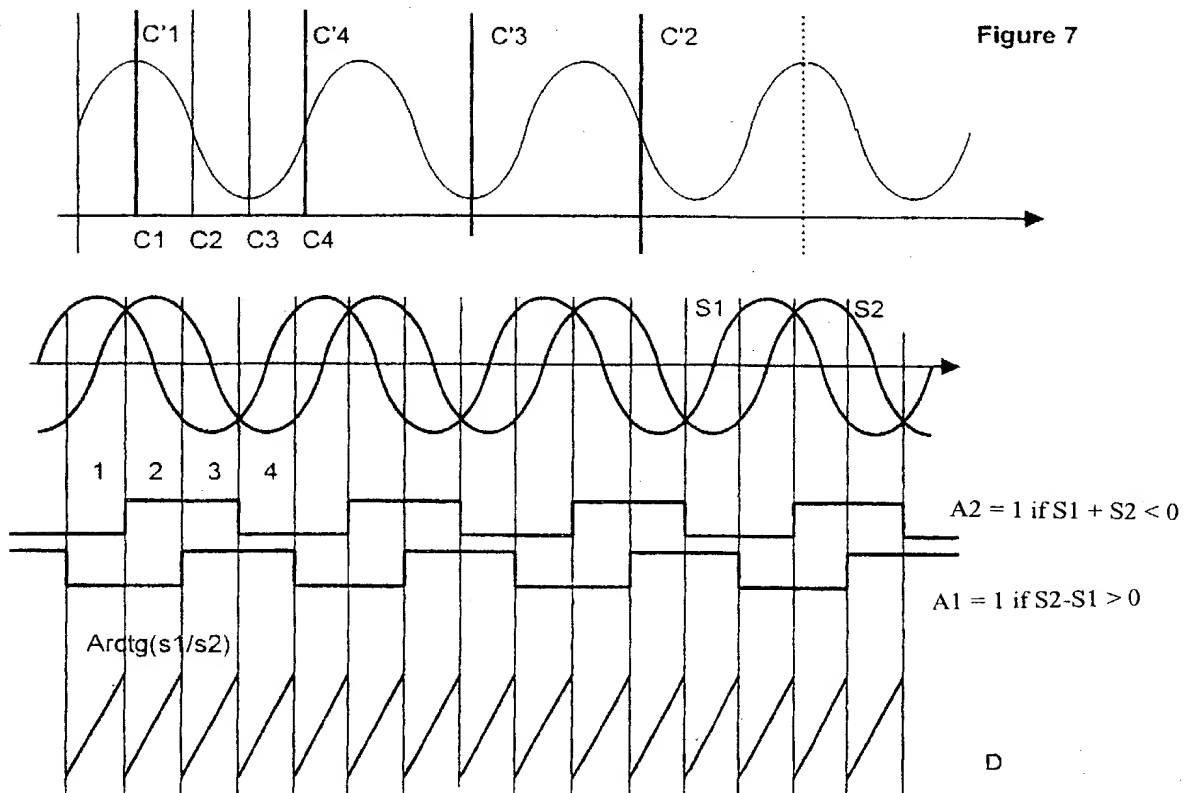


Figure 7



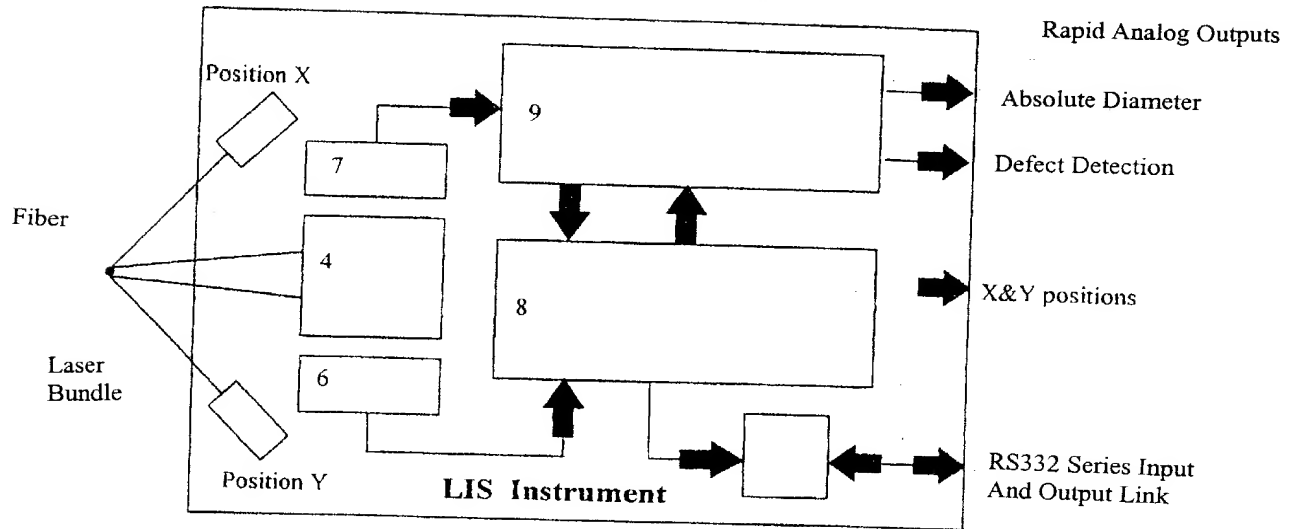


Figure 8

Period Evolution

Figure 9

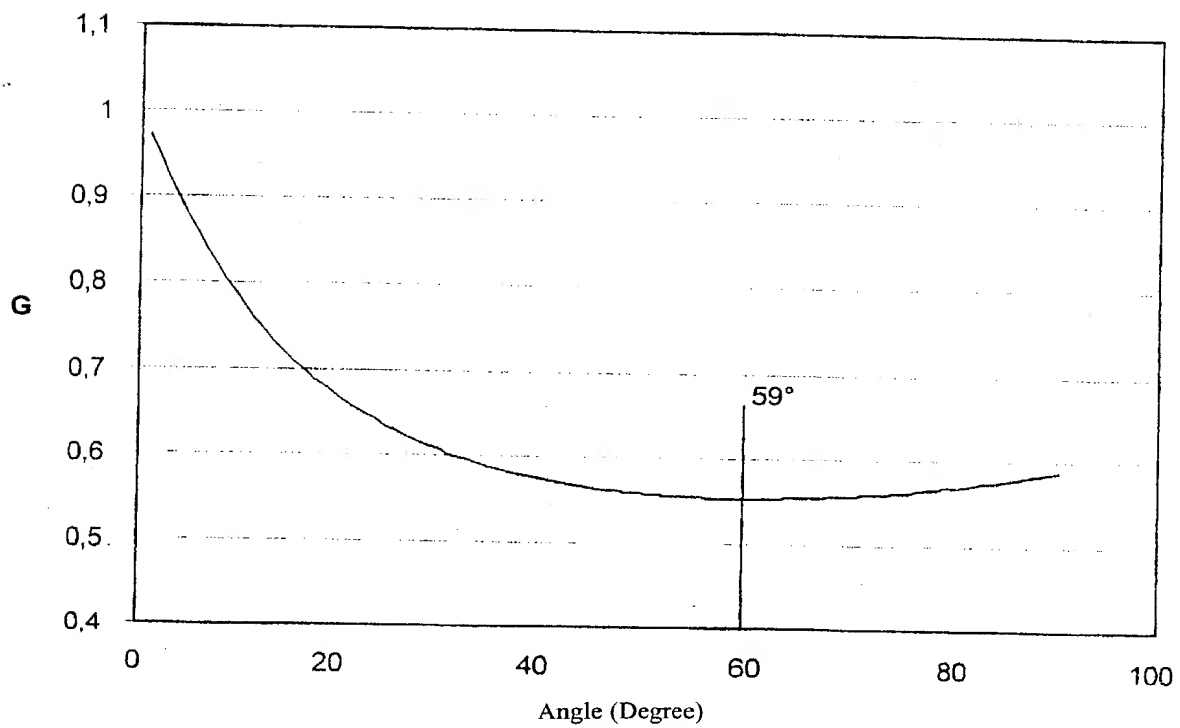
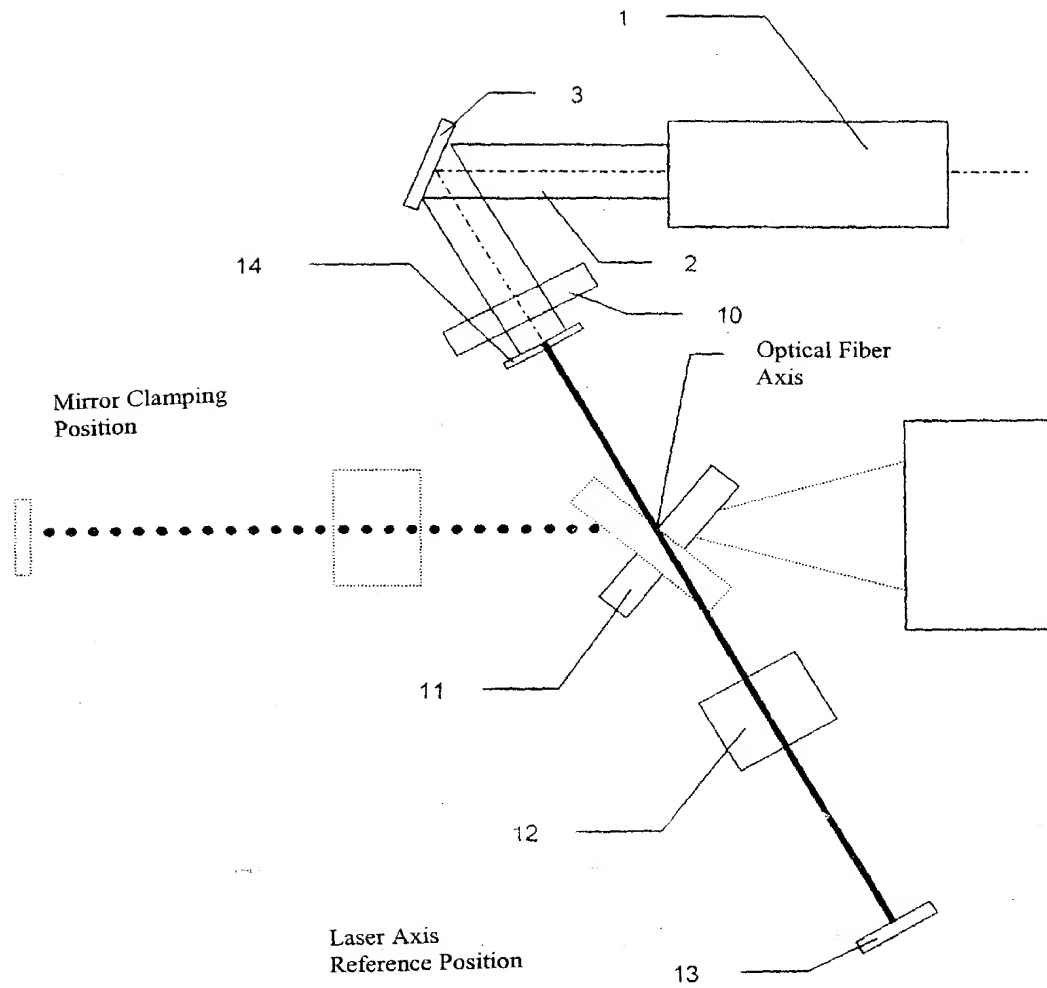


Figure 10





DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my/our name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DEVICE FOR MEASURING THE DIMENSION AND CONTROLLING OF DEFECTS IN OPTICAL FIBRES DURING PRODUCTION the specification of which

(check one)

_____ is attached hereto.

X was filed on August 31, 2001 as
Application Serial No. 09/914,729
and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s).

Priority
Claimed

99/04553	France	08/04/99	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		
			Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		
			Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

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PROVISIONAL APPLICATION NUMBER

FILING DATE

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00

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